



VANTAGE®

Transactional Fair Market Valuation

Date Stamp
(Office use only)
Rev. 11/7/2021

This is a fillable PDF form. To complete the form, click in an area and type.

The following form is designated to assign a fair market value for assets that do not have a readily available market. Because these valuations are used to determine the fair market value of a particular asset, a qualified, third party should complete valuations/appraisals.

Vantage Retirement Plans, LLC as administrator (“Administrator”) for the custodian (“Custodian”) of your self-directed retirement account (“Account”) is required to:

- Provide you with the Fair Market Value (FMV) of your Account as of December 31st of each year;
- Provide the Internal Revenue Service (IRS) with the FMV for your Account; and
- Report all distributions from your Account during the calendar year.

To properly report the FMV of an asset distributed in-kind, this Fair Market Valuation Form must be completed. The valuation of your self-directed account must be provided to Administrator at the time of a distribution of an asset in-kind. The terms and conditions of this document are incorporated into the Account Owner’s account application (the “Account Application”), and the terms and conditions of the Account Application are incorporated herein. Vantage is not able to accept valuation information that requires interpretation or calculations of units, value, or ownership. Incomplete forms will not be processed.

Account Owner Information *(As it appears on your account application)*

Legal Name: _____ **Vantage Account #:** _____
First, Middle, Last

Date of Birth: _____ **Phone:** _____

Current Fair Market Value

Enter the current fair market value of the investment identified by this form. Administrator recommends a qualified, independent third party complete the valuation or appraisal.

Asset Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ **Value Based on Percentage of Ownership:** _____

Number of Units Held: _____ **Price Per Unit:** _____

Date of Valuation: _____

Valuator Information

Name: _____ **Title:** _____

Phone: _____ **Address:** _____

City: _____ **State:** _____ **Zip:** _____

PLEASE INCLUDE SUPPORTING DOCUMENTS

Account Owner’s Signature: _____ **Date:** _____