



VANTAGE

Annual Fair Market Valuation

Date Stamp
(Office use only)
Rev. 11/7/2021

This is a fillable PDF form. To complete the form, click in an area and type.

Vantage Retirement Plans, LLC as Administrator for the Custodian, Mainstar Trust, must report the fair market value for each of the assets in your self-directed retirement account as of December 31st of each year to the IRS. So that Administrator and Custodian can properly report the fair market value for each asset in your Account, you, the Account Owner, must complete this Annual Fair Market Valuation Form ("FMV Form") annually. To provide an accurate valuation, Administrator and Custodian recommend that a qualified, independent third party complete the valuations/appraisals set forth herein, and that documentation supporting the valuation be submitted. Vantage is not able to accept valuation information that requires interpretation or calculations of units, value, or ownership. Incomplete forms will not be processed.

Account Owner Information (As it appears on your account application)

Legal Name: _____ Vantage Account #: _____
First, Middle, Last

Date of Birth: _____ Phone: _____

Current Fair Market Values

Asset One - Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ Value Based on Percentage of Ownership: _____

Number of Units Held: _____ Price Per Unit: _____

Date of Valuation: _____

Valuator Name: _____ Title: _____ Phone: _____

Asset Two - Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ Value Based on Percentage of Ownership: _____

Number of Units Held: _____ Price Per Unit: _____

Date of Valuation: _____

Valuator Name: _____ Title: _____ Phone: _____

PLEASE INCLUDE SUPPORTING DOCUMENTS

Custodian and Administrator are not responsible for completing, and neither will complete, valuations of assets in your Account. Custodian and Administrator rely on and report to the IRS the values that you, the Account Owner, obtain and report to Custodian and Administrator. Administrator's records will reflect the acquisition price of an asset as the value of the asset until Administrator receives an FMV Form in connection with that asset. In the event Administrator does not timely receive the FMV Form for all assets in your Account, Administrator may resign and distribute those assets to you. The terms and conditions of this FMV Form are incorporated into the Account Owner's account application (the "Account Application"), and the terms and conditions of the Account Application are incorporated herein.

Account Owner Signature: _____ Date: _____

Current Fair Market Values *(continued)*

Legal Name: _____ **Vantage Account #:** _____
First, Middle, Last

Asset Three - Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ **Value Based on Percentage of Ownership:** _____

Number of Units Held: _____ **Price Per Unit:** _____

Date of Valuation: _____

Valuator Name: _____ **Title:** _____ **Phone:** _____

Asset Four - Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ **Value Based on Percentage of Ownership:** _____

Number of Units Held: _____ **Price Per Unit:** _____

Date of Valuation: _____

Valuator Name: _____ **Title:** _____ **Phone:** _____

Asset Five - Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ **Value Based on Percentage of Ownership:** _____

Number of Units Held: _____ **Price Per Unit:** _____

Date of Valuation: _____

Valuator Name: _____ **Title:** _____ **Phone:** _____

Asset Six - Name: _____

Total Asset Value: _____

Percentage of Ownership: _____ **Value Based on Percentage of Ownership:** _____

Number of Units Held: _____ **Price Per Unit:** _____

Date of Valuation: _____

Valuator Name: _____ **Title:** _____ **Phone:** _____

PLEASE INCLUDE SUPPORTING DOCUMENTS