

How to Fund Your Vantage Self-Directed IRA

1 CHOOSE

How you will fund your IRA.

There are three ways you can fund your Vantage IRA:

- 1) **Transfer** - Used to move funds from one IRA to another IRA
- 2) **Rollover** - Used to move funds from a qualified plan to an IRA
- 3) **Contribution** - You deposit funds to your IRA

2 COMPLETE

Complete the applicable form.

Depending on how you choose to fund your account, access the form online to submit electronically or download to print and mail. [CLICK HERE TO ACCESS THE FUNDING FORMS](#)

1) **Transfer Form** (*Print this form, it requires an original signature*)

- Contact the current financial institution your funds are coming from to determine if they require a Medallion Signature Guarantee stamp.
- Include a copy of the most recent IRA statement from your current financial institution.

2) **Rollover Certification**

- Can be used for previous qualified plans such as 401k, 403b, 457, profit sharing plan, and a defined benefit plan.
- Contact your current financial institution to initiate a rollover and provide them with your Vantage account number.

3) **Contribution**

- If contributing by check, make payable to your IRA: Vantage FBO (FBO = For Benefit Of) Your Name IRA # Account Number and include the Deposit Coupon.
- If contributing by check or wire, use our [Incoming Wire, ACH Delivery Instructions](#).

3 SUBMIT

After clicking [here](#), your funding request can be submitted based on how you choose to fund your account:

- 1) **Transfer Form:** Clicking the [PRINT & MAIL](#) button to download the form then mail to our office:
Vantage Retirement Plans, 20860 N Tatum Blvd, Ste 240, Phoenix, AZ 85050
- 2) **Rollover Certification:** Electronically by clicking the [SUBMIT ONLINE](#) button
- 3) **Contribution:** Electronically by clicking the [SUBMIT ONLINE](#) button

FREQUENTLY ASKED QUESTIONS

What does it mean to liquidate my assets and how do I do it?

When you liquidate your assets, you are selling a portion, or all your stock market based investment assets to obtain the cash proceeds. Vantage will only accept cash or in-kind transfers of alternative assets into your Vantage IRA. Contact your current financial institution to initiate the liquidation.

What is a Medallion Signature Guarantee Stamp and why do I need it?

The Medallion Guarantee Stamp is a special signature guarantee for the transfer of securities. It is a guarantee by the transferring financial institution the signature is genuine, and the financial institution accepts liability for any forgery. Please confirm with your resigning custodian if it will be required as only certain custodians require this stamp to process your request.

What is the difference between a direct and indirect rollover?

A direct rollover must be initiated by you instructing the plan administrator to send the desired amount directly to the established Vantage IRA. The plan administrator will make the check/wire vested/payable directly to your IRA. By contrast, an indirect rollover occurs when you request a qualified plan distribution to your individual name instead of your IRA. To avoid any tax consequences, you must place 100% of the funds received back into another qualified plan or IRA within 60 calendar days.

How long does it take to receive the funds to my Vantage IRA?

The transfer and rollover processes range anywhere from seven to twenty-one business days. The resigning custodian or plan administrator, not Vantage, controls the processing time to send the funds.