



VANTAGE®

Important 2020 End of Year Deadlines

To ensure we have the ability to complete your transaction in a timely manner, documents must be received to our office in good order by the following dates:

December 4, 2020	Roth IRA conversion (requiring a NEW Vantage Roth IRA account)
December 11, 2020	Roth IRA conversion (using existing Vantage IRA accounts)
December 11, 2020	IRA Distribution for a 2020 Required Minimum Distribution (as applicable)
December 11, 2020	Re-registration documentation for in-kind assets being converted/distributed in 2020
December 28, 2020	Last day for 2020 transaction processing of outgoing cash transactions
January 7, 2021	2020 Fair Market Valuation form and substantiating documents
April 15, 2021	2020 IRA contributions into Traditional or Roth IRA accounts

You can expect to receive the following documents from Vantage:

February 2021	2020 Annual Statement as of December 31, 2020 (mailed by January 31, 2021)
February 2021	1099R Form (mailed by January 31, 2021)
June 2021	5498 Form (mailed by May 31, 2021)

Annual Fair Market Valuation

Be on the lookout for our Annual Fair Market Valuation (FMV) notification, it will arrive in your mailbox towards the end of October.

To ensure compliance of IRS requirements, we need to receive the FMV value(s) of your holdings by January 7, 2021. Timely submission of your FMV will prevent incurring any penalty fees.



On August 13th we launched our newly designed online client portal, *my*VANTAGEpoint!

Now through a single-access entry point, our clients can access their Vantage account to review account balances, investment transaction history and pay invoices. If you need assistance logging in, please call our office at (866) 459-4580 and our team can walk you through the steps to gain access. We hope you enjoy the new portal! Be sure to stay tuned as we continue to roll out additional features in the coming months!

COVID-19 UPDATE: To protect the health and wellbeing of our valued team members and clients, Vantage will maintain our current protocol of working remotely through the end of this year. Our doors are locked but Vantage is **OPEN** during our regular business hours and our team stands ready to serve you from our secure remote locations.