



VANTAGE[®]
Self Directed Retirement Plans

Buy Direction Letter

Foreign Currency/Iraqi Dinar

Date Stamp
(Office use only)
Rev. 8/31/17

This is a fillable PDF form. To complete the form, click in an area and type.

Account Owner Information *(As it appears on your account application)*

Legal Name: _____ Vantage Account #: _____
First, Middle, Last

I HEREBY AUTHORIZE AND DIRECT ADMINISTRATOR TO BUY THE BELOW ASSET FOR MY ACCOUNT:

Foreign Currency

Name Of Broker/Dealer: _____

Total Purchase Price: \$ _____

Transaction Fees *(All fees due at time of transaction. If no indication is made, fees will be deducted from your uninvested cash balance, if available. If cash balance is inadequate, transaction will not be processed.)*

How Would You Like To Pay The Transaction Fees?

Vantage Account Check *(Made payable to Vantage)* Visa MC Discover AMEX

Name On Card: _____

Card Number: _____ Exp.: _____ CVC: _____

Billing Address: _____

City: _____ State: _____ Zip: _____

Delivery Instructions *(Please reference your Vantage fee schedule for applicable transaction fees.)*

CHECK *(Check to Payee/Seller)* CASHIER'S CHECK *(Made payable to Payee/Seller)*

Payable To: _____

Will Pick Up Overnight *(Cannot be delivered to a P.O. Box)* Regular Mail

Mail To Address: _____ City: _____ State: _____ Zip: _____

WIRE ACH Bank Name: _____ Routing Number: _____

Account Name: _____ Account Number: _____

Wire Recipient's Address: _____ City: _____ State: _____ Zip: _____

Depository Information

FSD *(First State Depository)* Other: _____

It is important to select an appropriate depository institution ("depository" or "depositories") where the foreign currency held in my Account will be stored. There are numerous depositories that specialize in storage and safekeeping of foreign currency. I understand that the depository named above by Administrator is simply the depository most frequently utilized by Administrator's clients. In providing the name of this depository, however, Administrator is only providing an accommodating suggestion and is in no way endorsing either the listed depository, its business practices, or its financial stability and longevity. I understand that whether I choose the listed depository or designate my own depository, I have made such decision(s) based on my own research and due diligence. I further understand that if I select another depository other than the one listed, Administrator will need to open an account at said depository which may cause time delays and additional expense(s). I understand that Administrator is not and will not be held responsible for the actions of any depository and I hereby release and hold harmless Administrator and Custodian from any damages that I may incur with respect to my choice of depository and any actions on the part of said depository.

Prior to funding, all transaction documents must be notated “read and approved” with your signature and date. (For example, subscription documents, real estate notes, promissory notes.)

Vantage Retirement Plans, L.L.C. (“Administrator”) performs recordkeeping and administration duties in connection with Account Owner’s self-directed retirement account (the “Account”) on behalf of the custodian (“Custodian”) as set forth in Account Owner’s account application (the “Account Application”). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the Account Application are incorporated herein.

I, the undersigned Account Owner, understand that my Account is self-directed and that Administrator and Custodian will not conduct any investigation or due diligence or review the merits, legitimacy, appropriateness, security, and/or suitability of any investment. I acknowledge that I have not requested that Administrator or Custodian provide, and Administrator and Custodian have not provided, any advice with respect to the investment directive set forth in this Buy Direction Letter. I understand that it is my responsibility to conduct all due diligence and all other investigation that a reasonably prudent investor would undertake prior to making any investment, including, but not limited to, title and lien searches. I understand that neither Administrator nor Custodian determine whether any investment is acceptable under the Employee Retirement Income Securities Act (“ERISA”), the Internal Revenue Code (“IRC”), or any applicable federal, state, or local law, including securities laws. I understand that it is my responsibility to review any investments for all investment risks and to ensure compliance with these requirements.

I understand and agree that neither Administrator nor Custodian is a “fiduciary” to me or for my Account and/or my investment as such term is defined in the IRC, ERISA, and/or any applicable federal, state, or local law. I agree to release, indemnify, defend, and hold Administrator and Custodian harmless from any claims, including, but not limited to, actions, liabilities, losses, penalties, fines and/or claims by others, arising out of this Buy Direction Letter and/or this investment, including, but not limited to, claims that an investment is not prudent, proper, diversified, properly secured, or otherwise in compliance with the IRC, ERISA, and/or any other applicable federal, state, or local law, or any claim related to incomplete or incorrect information provided in this Buy Direction Letter. In the event of a claim by any party related to my account and/or investment wherein Administrator or Custodian is named as a party, Administrator and Custodian have the full and unequivocal right, at their sole discretion, to select their own attorneys to represent them and to deduct from my Account amounts sufficient to pay for any costs and expenses incurred by Administrator or Custodian in connection with such claims and/or litigation, including, but not limited to, all attorneys’ fees and costs, and internal costs (collectively, “Litigation Costs”). If there are insufficient funds in my Account to fully reimburse Administrator and Custodian for all Litigation Costs incurred by Administrator and/or Custodian, upon demand by Administrator and/or Custodian, I will promptly reimburse Administrator and Custodian the outstanding balance of the Litigation Costs. If I fail to promptly reimburse the Litigation Costs, Administrator and Custodian have the full and unequivocal right to freeze my assets, liquidate my assets, and/or initiate legal action to obtain full reimbursement of the Litigation Costs. I also understand and agree that Administrator and Custodian are not required to take any action should there be any default with regard to any investment.

I am directing Administrator to fund this transaction as specified above. I confirm that the decision to buy this asset is in accordance with the rules of my Account, and I agree to hold harmless and without liability Administrator and Custodian for all investment risks under the foregoing hold harmless provision. I understand that no person affiliated with Administrator or Custodian has any authority to agree to anything different than as set forth herein. If any provision of this Buy Direction Letter is found to be illegal, invalid, void, or unenforceable, such provision is severed and such illegality or invalidity does not affect the remaining provisions, which remain in full force and effect. For purposes of this Buy-Direction Letter, the terms Administrator and Custodian include their agents, assigns, joint ventures, licensees, franchisees, affiliates and/or business partners. I declare that I have examined this document, including all accompanying information, and to the best of my knowledge and belief, it is true, correct, and complete.

I understand that all terms and conditions set forth in my Account Application and other documents from Administrator remain in full force and effect.

Transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from your account, the full amount of the transaction plus fees must be available before your transaction can be processed.

Not Responsible for Market Condition Variances:

I understand that I have instructed Administrator to follow the investment directions set forth in this Buy Direction Letter. I further understand that some transactions that I may direct or instruct Administrator to complete, especially transactions involving commodities such as foreign currency, may be dependent upon the operation of global markets and entities, and there could be fluctuations in price and condition of said investments from the time that I issue a direction letter to Administrator and the time when the transaction is actually completed. I hereby agree to release, indemnify, defend, and hold Administrator and Custodian harmless from any claims regarding fluctuation in prices and/or conditions of any transaction I direct or instruct Administrator to make on my behalf. I further agree to waive any claims that I may have, past, present, or future, known or unknown, anticipated or unanticipated, with respect to the fluctuation or change in the price or condition of any investment that I direct or instruct Administrator to make from the time I deliver my direction or instruction letter to Administrator until the time the transaction is actually completed. I understand that this hold harmless and release applies equally to Administrator and Custodian.

Account Owner’s Signature: _____ **Date:** _____

(I have read the disclosure above the signature line before signing and dating and agree with its contents.)