



VANTAGE

Interested Party Designation

Date Stamp
(Office use only)
Rev. 2/18/2022

This is a fillable PDF form. To complete the form, click in an area and type.

Account Owner Information *(As it appears on your account application)*

Legal Name: _____ Vantage Account #: _____
First, Middle, Last

Interested Party Designation

Please complete the information below to authorize a third party (i.e. spouse, accountant, attorney, entity, or other person), as an “Interested Party”, to receive information about your account. If an entity is named, any individual associated with the entity may inquire about the account. The Interested Party will not be able to make any changes to your account. Only an Account Owner can make changes to an Account. Please note, upon submitting this Interested Party Designation (the “Designation”), the Interested Party will have full access to your Account information. This designation provides significant rights to a third-party in connection with your account; please read it carefully before signing.

Name of Interested Party: _____ Relationship: _____

Interested Party Address: _____

City: _____ State: _____ Zip: _____

Telephone Number: _____

Fax Number: _____

Email Address: _____

Remove Interested Party Access - The Interested Party identified below should be removed as an Interested Party and no longer have access to the Account.

Name of Interested Party: _____ Relationship: _____

Telephone Number: _____

Email Address: _____

Vantage Retirement Plans, L.L.C. (“Administrator”) performs recordkeeping and administration duties in connection with Account Owner’s self-directed account (the “Account”) as set forth in Account Owner’s Account Application (the “Account Application”). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the Account Application are incorporated herein.

This Designation remains in effect until Account Owner properly submits a new Designation removing the Designated Party’s access to the Account. No removal of a Designated Party is effective prior to Administrator’s actual receipt of a new Declaration removing the Designated Party’s access to the Account. Account Owner understands the legal and practical effects of this Declaration and has consulted with an attorney prior to executing it. Administrator may assume that this Declaration is valid in all respects and may rely on the representations made herein. Notwithstanding the foregoing, Administrator reserves the right (without obligation of investigation) at any time to not allow access to your Account if Administrator, in its sole discretion, has any reasonable doubt as to the validity of the Declaration. Administrator assumes no responsibility for investigating or verifying the capacity of Account Owner or any Designated Party.

Account Owner understands that Administrator is not a “fiduciary” for the Account. Account Owner hereby releases, indemnifies, defends, and holds Administrator harmless from any claims, including, but not limited to actions, liabilities, losses, penalties, fines, attorneys’ fees, and/or third party claims, arising out of and/or in connection with reliance on this Designation. This indemnity and hold harmless provision survives any termination of this Designation. Account Owner understands that Administrator is not required to take any action should there be any default with regard to any investment. The term Administrator includes its agents, assigns, joint ventures, licensees, franchisees, affiliates and/or business partners. If any provision of this Designation is found to be illegal, invalid, void, or unenforceable, such provision is severed and such illegality or invalidity does not affect the remaining provisions, which remain in full force and effect. All Account Owners must sign this form.

Account Owner’s Signature: _____ Date: _____