

**Account Setup Fee: \$50** (Non-Refundable Account Application Fee)

**Annual Recordkeeping Fee: \$100** (Per asset and due upon account funding)

### Transaction Fees

Purchase, Sale, Exchange, Payoff, or Re-Registration of Any Asset or Liability	\$40
Domestic Wire Transfers (International Wire Transfers \$35)	\$30
Cashier's Checks (Cashier's Check stop payment requests are subject to additional fees)	\$50
Check Fee or ACH Transfers	\$5
Overnight Mail / Certified Mail	\$35 / \$15
Returned Items of Any Kind or Stop Payment Requests	\$30
Tax Form Corrections	\$50
Custom Research Fee (Minimum \$50)	\$50 per hour
Full Account Termination including transfer of assets from your account and lump-sum distributions (Annual Recordkeeping fees are not pro-rated when an account is closed)	\$50
Late Fee on Outstanding Invoices	\$35
Late, Incomplete, or Rejected Fair Market Valuation Submission (Assessed May 1st)	\$75

### Pay Fees From

Invoice Me     Vantage Account    **Credit Card:**  Visa     MC     Discover     AMEX

**Name On Card:** \_\_\_\_\_

**Card Number:** \_\_\_\_\_ **Exp.:** \_\_\_\_\_ **CVC:** \_\_\_\_\_

**Billing Address:** \_\_\_\_\_

**City:** \_\_\_\_\_ **State:** \_\_\_\_\_ **Zip:** \_\_\_\_\_

Note: By adding your credit card information, you are authorizing Vantage to keep the information on file for the payment of future Administration fees.

**Please mark the appropriate selection for your preferred invoice method:**  Email     Mail

**If an invoice on your account is unpaid for 30 days and funds are unavailable in the account, the credit/debit card on file for the account will be charged.**

Vantage Retirement Plans, LLC ("Administrator") performs recordkeeping and administration duties in connection with Account Owner's self-directed retirement account (the "Account") on behalf of the custodian ("Custodian") as set forth in Account Owner's account application (the "Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the Account Application are incorporated herein.

The Custodian is entitled to receive, from the assets held in your Account, a fee equal in amount to all income that is generated from any Undirected Cash (defined as any cash in your Account not invested pursuant to a specific investment direction by you, the Account holder) which is held by Custodian in an account or product of an FDIC or other United States government insured financial institution, United States government security, or security that is insured or guaranteed by the United States government ("Custodial Fee"). The Custodial Fee is associated with cash management activities, including, but not limited to, account maintenance, depository bank selection, transaction processing, sub-accounting, recordkeeping, and other services performed under the terms of this Agreement and your Account Application. Custodian retains the right, but does not have the obligation, to reduce this fee by rebating a portion of the Custodial Fee into your Account. You agree that the Custodial Fee may be retained by Custodian as compensation for the services provided by Custodian under this Agreement and your Account Application. Custodian may pay all or an agreed portion of Custodial Fee to Administrator as agreed between Custodian and Administrator. Custodian reserves the right to change all or part of the Custodial Fee at its discretion. If changes adversely affect any clients, 30 days written notice of the change will be provided.

**FEES WILL BE DEDUCTED FROM YOUR ACCOUNT UNLESS OTHER ARRANGEMENTS HAVE BEEN MADE.** Minimum account balances may apply. Annual recordkeeping fees may be prepaid from your Account and not prorated. For your convenience, your annual fee will be reflected on your statements showing your recordkeeping charges. You may pay the amount shown on the statement. If you have previously provided a credit card to be kept on file for payment of fees associated with your Account and the credit card is declined, the Administrator reserves the right to deduct these fees from your Account. If there are insufficient funds in your Account, we may liquidate other assets to pay for such fees. All Undirected Cash is maintained by Custodian at FDIC insured banks. Fees are subject to change.

In accordance with your Account Application, this Fee Disclosure is part of your Agreement with Administrator and must accompany your Account Application.

**THIS ACCOUNT WILL BE CLOSED IF NOT FUNDED WITHIN 60 DAYS**

**Account Owner's Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**PLEASE SIGN AND RETURN THIS FORM TO THE VANTAGE OFFICE**