



Fee Schedule

Date Stamp
(Office use only)
Rev. 1/29/2026

VANTAGE®

Account Owner Information (As it appears on your account application)

First Name: _____ Last Name: _____ Middle Initial: _____

Account Fees

Account Setup Fee (Non-refundable account application fee)	\$50
Annual Administration Fee (Due upon account funding)	
Per Asset	\$450
Per Mortgage Liability	\$150
<i>Maximum Annual Administration Fee \$2,250</i>	

Transaction Fees

Purchase, Sale, Exchange, Payoff, or Reregistration of Any Asset or Liability (For the addition or removal of an asset)	\$175
Roth Conversion or Recharacterization (Including partial requests)	\$75
Reregistration to Beneficiary Account	\$125
Domestic Wire Transfers	\$50
International Wire Transfers	\$75
Cashier's Checks	\$50
Check Fee or ACH Transfers (No Check / ACH Fee for Required Minimum Distributions)	\$10
Overnight Mail / Certified Mail	\$45 minimum / \$15
Returned Items or Stop Payment Requests	\$50
Tax Form Corrections	\$50 each
Legal Research Fee (3 hour minimum - \$150 per additional hour)	\$450 minimum / \$150
Custom Research Fee (5 hour minimum - \$75 per additional hour)	\$350 minimum / \$75
Full Account Termination (All assets are removed and the account is closed. An account cannot remain open with a zero market value. Annual administration fees are not prorated)	\$250
Outgoing Transfer / Rollover (For all Cash / In-Kind Transfers or Rollovers)	\$100
Specialized Distributions (In-Kind Distributions, Removal of Excess / Non-Deductible Contribution, Distribution due to Divorce / Legal Separation and Substantially Equal Payments)	\$100
Late Fee on Outstanding Invoices	\$35
Late or Incomplete Fair Market Valuation Submission (Assessed May 1st)	\$125 per account

Pay Fees From

Credit Card: Visa MC Discover AMEX

Name On Card: _____

Card Number: _____ **Exp.:** _____

Billing Address: _____

City: _____ **State:** _____ **Zip:** _____

Note: By adding your credit card information, you are authorizing Vantage to keep the information on file for the payment of future fees.

Signature

Vantage Retirement Plans, LLC (“Administrator”) performs recordkeeping and administration duties in connection with Account Owner’s self-directed account (the “Account”) on behalf of the custodian (“Custodian”) as set forth in Account Owner’s account application (the “Account Application”). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the Account Application are incorporated herein.

The Custodian is entitled to receive, from the assets held in your Account, a fee equal in amount to all income that is generated from any Undirected Cash (defined as any cash in your Account not invested pursuant to a specific investment direction by you, the Account holder) which is held by Custodian in an account or product of an FDIC or other United States government insured financial institution, United States government security, or security that is insured or guaranteed by the United States government (“Custodial Fee”). The Custodial Fee is associated with cash management activities, including, but not limited to, account maintenance, depository bank selection, transaction processing, subaccounting, recordkeeping, and other services performed under the terms of this Agreement and your Account Application. Custodian retains the right, but does not have the obligation, to reduce this fee by rebating a portion of the Custodial Fee into your Account. You agree that the Custodial Fee may be retained by Custodian as compensation for the services provided by Custodian under this Agreement and your Account Application. Custodian may pay all or an agreed portion of Custodial Fee to Administrator as agreed between Custodian and Administrator. Custodian reserves the right to change all or part of the Custodial Fee at its discretion. If changes adversely affect any clients, 30 days written notice of the change will be provided.

FEES WILL BE DEDUCTED FROM YOUR ACCOUNT UNLESS OTHER ARRANGEMENTS HAVE BEEN MADE.

Minimum account balances may apply. Annual administration fees may be prepaid from your Account and not prorated. For your convenience, your annual fee will be reflected on your statements showing your administration charges. You may pay the amount shown on the statement.

If you have previously provided a credit card to be kept on file for payment of fees associated with your Account and the credit card is declined, the Administrator reserves the right to deduct these fees from your Account. If there are insufficient funds in your Account, we may liquidate other assets to pay for such fees. All Undirected Cash is maintained by Custodian at FDIC insured banks. Fees are subject to change.

Accounts that are not funded or that maintain a zero balance for sixty (60) days may be subject to closure.

In accordance with your Account Application, this Fee Disclosure is part of your Agreement with Administrator and must accompany your Account Application.

PLEASE SIGN AND RETURN THIS FORM TO THE VANTAGE OFFICE

Account Owner’s Signature: _____ Date: _____