

TAGE Roth Conversion

Date Stamp (Office use only) REV. 4/9/14

Choose One Of The Following: New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: Full Conversion: Convert all assets and cash held in the above account. O I would like Vantage to close my Traditional Account Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). Administrator and Custoda in Both IRA conversion information as directed by the IRS. Administrator and Custoda in has recommended that I consult withing tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances and selectiment Plans, LLC ("Administrator") performs recordishing and administrative duties in connection with Account Owner's self-directed account "("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the owner's self-directed account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the owner's self-directed account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the owner's self-directed account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and condi		ble PDF form. To complete the form, click in an area and type.
State: Zip: State: Zip: Social Security Number: Phone: Conversion Information O In-Kind (A qualified third party appraisal is required for all In-Kind conversions) O Cash Choose One Of The Following: O New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: O Full Conversion: Convert all assets and cash held in the above account. O I would like Vantage to close my Traditional Account O I would like Vantage to close my Traditional Account Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the internal Revenue Service (RS). Administrator and Custodan has recommended that I consoil with my tax addits or the RS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances and account that the control owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this count Application are incorporated herein.		
State: Zip: Social Security Number: Phone: Conversion Information O In-Kind (A qualified third party appraisal is required for all In-Kind conversions) O Cash Choose One Of The Following: O New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) O Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: O Full Conversion: Convert all assets and cash held in the above account. O Partial Conversion: Convert all assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the internal Revenue Service (Ris IS). Administrator and Custodian has recommended that I consult with my tax advisor or the Ris Before completing this transaction to make certain that this transaction is appropriate in my individual circumstances and generated that the account of the Account Application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISALAS NEEDED.		
Conversion Information In-Kind (A qualified third party appraisal is required for all In-Kind conversions) O Cash Choose One Of The Following: O New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) O Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: O Full Conversion: Convert all assets and cash held in the above account. O I would like Vantage to close my Traditional Account O Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. I applicable, have taken my Required Minimum Distribution (RMD) separately during the year. I merestand that this type of transaction is reported to the limenal Revenue Service (RS). I am responsible for recordiseping about IRA conversion information as directed by the IRS. A deministrator and ustodian has recommended that I consult with my tax advisor or the RS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LIC ("Administrator") performs recordiseping and administrative duties in connection with Account Owner's seried directed account ("the Account") on behalf of the custodian's seried in his Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated benefit.	Address:	Vantage Account (ROTH) #:
Conversion Information In-Kind (A qualified third party appraisal is required for all In-Kind conversions) New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: Full Conversion: Convert all assets and cash held in the above account. O I would like Vantage to close my Traditional Account Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. I rapplicable, have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (RS). I am repossible for recordeeping Roth IRA conversion information as directed by the IRS. I am persposible for recordeeping Roth IRA conversion information as directed by the IRS. Administrator and Gusdonia has recommended that I constit with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LIG ("Administrator") performs recordeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian" ("custodian" seed to this document are incorporated into the Account Application," The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated therein.	City:	State: Zip:
O In-Kind (A qualified third party appraisal is required for all In-Kind conversions) ○ Cash Choose One Of The Following: ○ New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) ○ Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: ○ Full Conversion: Convert all assets and cash held in the above account. □ I would like Vantage to close my Traditional Account ○ Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. I applicable, have taken my Required Minimum Distribution (RMD) separately during the year. I understand that the type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the RIS. Administrator and custodian has recommended that I consumed that I consumed that I consumed the Minimum Distribution or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian's section in Account Owner's self-directed account ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Ap	Social Security Number:	Phone:
Choose One Of The Following: New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: Full Conversion: Convert all assets and cash held in the above account. Indicate Amount (Do Not Use Percentages) Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (RS). I am reporsible for recordkeeping Roth IRA conversion information as directed by the RS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, ILC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" see forth in Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" see forth in Account Owner's self-directed account ("the Account") on the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated herein.	Conversion Information	
New Conversion: This is a conversion to a NEW Roth IRA. (A Vantage Account Application must be attached) Existing Roth IRA: This is a conversion to an EXISTING Roth IRA. Choose One Of The Following: Full Conversion: Convert all assets and cash held in the above account. I would like Vantage to close my Traditional Account Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (RS). I am reporsible for recordkeeping from IRA conversion information as directed by the IRS. Administrator and Custodan has recommended that I consult with my tax acknown or the IRO before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" see for in Account Owner's account application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSED WITHOUT THE ASSET APPRAISAL AS NEEDED.	O In-Kind (A qualified third party appraisal is required	I for all In-Kind conversions) O Cash
Choose One Of The Following: Full Conversion: Convert all assets and cash held in the above account. I would like Vantage to close my Traditional Account Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances and again Retirement Plans, LLC (Administrator') performs recordkeeping and administrative duties in connection with Account Owner's scount application ("the Account Application"). The terms and conditions of this document are incorporated into the Account ("the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of this document are	Choose One Of The Following:	
Full Conversion: Convert all assets and cash held in the above account. O I would like Vantage to close my Traditional Account Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Both IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances are forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.		**
Partial Conversion: Convert the assets indicated below. Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. I applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances are set forth in Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's self-directed account Application, and the terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein.	Choose One Of The Following:	
Asset Description (In-Kind or Cash) Indicate Amount (Do Not Use Percentages) Account Holder's Signature certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I alm responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" seet forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated herein.	└─O I would like Vantage to close my Trac	litional Account — NITIAL HERE
certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.	Asset Description (In-Kind or Cash)	
certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.		
certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.		
certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.		
certify that the following statements are true and correct. If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.		
If applicable, I have taken my Required Minimum Distribution (RMD) separately during the year. I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSED WITHOUT THE ASSET APPRAISAL AS NEEDED.	Account Holder's Signature	
I understand that this type of transaction is reported to the Internal Revenue Service (IRS). I am responsible for recordkeeping Roth IRA conversion information as directed by the IRS. Administrator and Custodian has recommended that I consult with my tax advisor or the IRS before completing this transaction to make certain that this transaction is appropriate in my individual circumstances antage Retirement Plans, LLC ("Administrator") performs recordkeeping and administrative duties in connection with Account Owner's self-directed account ("the Account") on behalf of the custodian ("Custodian" is set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the count Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSED WITHOUT THE ASSET APPRAISAL AS NEEDED.	l certify that the following statements are true and correct.	
s set forth in Account Owner's account application ("the Account Application"). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the account Application are incorporated herein. THIS CONVERSION WILL NOT BE PROCESSED WITHOUT THE ASSET APPRAISAL AS NEEDED.	 I understand that this type of transaction is reported to the Internal Rev I am responsible for recordkeeping Roth IRA conversion information as of 	enue Service (ĪRS). directed by the IRS.
NOTE: Please allow Vantage two (2) business days to complete your request. Documents recieved after 2pm will be considered as recieved the next business day.	THIS CONVERSION WILL NOT E	BE PROCESSSED WITHOUT THE ASSET APPRAISAL AS NEEDED.
	NOTE: Please allow Vantage two (2) business days to con	nplete your request. Documents recieved after 2pm will be considered as recieved the next business day.

Account Owner's Signature: _

Page $1 \ of \ 1$

Date: _