



VANTAGE
Self-Directed Retirement Plans

Transfer

Date Stamp
(Office use only)

This is a fillable PDF form. To complete the form, click in an area and type.

Use this form to move assets from a previous custodian account to your Vantage Self-Directed Retirement Account without taking possession of the funds/assets. Do not use this form for direct or indirect rollovers. To avoid the potential delay in your transfer of cash, ensure the liquidation process is completed PRIOR to submitting this form.

The terms and conditions of this document are incorporated into the Account Owner's account application (the "Account Application"), and the terms and conditions of the Account Application are incorporated herein.

Account Owner Information *(As it appears on your account application)*

Legal Name: _____ **Vantage Account #:** _____
First, Middle, Last

Legal Address: _____

City: _____ **State:** _____ **Zip:** _____

Phone: _____ **Date of Birth:** _____ **Social Security Number:** _____

Resigning Custodian Information

PLEASE INCLUDE A RECENT STATEMENT FROM THE RESIGNING CUSTODIAN ACCOUNT

Name of Custodian/Trustee: _____ **Resigning Account #:** _____

Office Address: _____

City: _____ **State:** _____ **Zip:** _____

Phone: _____ **Contact Name:** _____

Type of Account Being Transferred *(The transfer must be to the same type of account at Vantage)*

I am transferring FROM the following type of plan: *(Check One)*

Traditional IRA ROTH IRA Beneficiary IRA SEP IRA SIMPLE IRA HSA

I am transferring TO the following type of plan: *(Check One)*

Traditional IRA ROTH IRA Beneficiary IRA SEP IRA SIMPLE IRA HSA

Type of Asset Being Transferred *(The transfer must be to the same type of account at Vantage)*

Please indicate what you would like to transfer by indicating CASH and/or IN-KIND. If you need to liquidate investments, please contact your resigning Custodian to ensure the liquidation process is completed PRIOR to submitting this form.

Option 1: Complete Transfer

CASH – Send cash to “**Vantage FBO [your name] IRA #** _____”

IN-KIND – *Additional transaction documents are required to facilitate this Transfer . Please reference the Incoming In-Kind Transfer Checklist and complete next section on form.*

Option 2: Partial Transfer – please select one below

CASH – Send cash to “**Vantage FBO [your name] IRA #** _____”
Amount Being Transferred: \$ _____

IN-KIND – *Additional transaction documents are required to facilitate this Transfer . Please reference the Incoming In-Kind Transfer Checklist and complete next section on form.*

**Please allow five business days for checks and one business day for wires to clear*

Asset Description

<input type="radio"/> In-Kind	Asset Description: <input type="text"/>	Market Value: _____
<input type="radio"/> In-Kind	Asset Description: <input type="text"/>	Market Value: _____
<input type="radio"/> In-Kind	Asset Description: <input type="text"/>	Market Value: _____

Delivery Instructions

How Would You Like Us To Send This Transfer Request To Your Resigning Custodian?

USPS Mail Overnight Express (\$30 Fee) - Cannot express to a PO Box - Please select how you would like to pay the fee:

- Check (Made payable to Vantage)
- Credit Card On File
- Credit Card Authorization Form Attached

How Would You Like Your Cash Sent From Your Resigning Custodian To Vantage?

Check/USPS Mail Wire (Please note fees may apply from your resigning custodian)

Please allow five business days for checks to clear *Please allow one business day for wires to clear*

Signature and Acknowledgement

1. I understand the rules and conditions applicable to the Account Transfer set forth herein.
2. I qualify for the account transfer of assets listed in the Asset Description above and authorize such transfer.
3. I understand that no person affiliated with Administrator has any authority to agree to anything different than as set forth herein.
4. I hereby agree to the terms and conditions set forth in this Transfer Form and my Account Application.

ORIGINAL DOCUMENTS ARE REQUIRED TO PROCESS ALL TRANSFERS

Account Owner's Signature: _____
(Name of Account Holder)

Date: _____

PRIOR TO SIGNING, PLEASE CONSULT YOUR
RESIGNING CUSTODIAN TO DETERMINE IF A
MEDALLION GUARANTEE STAMP IS REQUIRED

(Medallion Guarantee Stamp)

FOR OFFICE USE ONLY - ACCEPTANCE OF RECEIVING CUSTODIAN

Pursuant to a limited written delegation, First Trust Company of Onaga, as Custodian ("Custodian"), has authorized Vantage Retirement Plans, LLC to sign this form on Custodian's behalf to verify Custodian's acceptance of the transfer described above and in agreement to apply the proceeds upon receipt to the Account established by Vantage Retirement Plans, LLC on the account holders behalf. Custodian ASSUMES NO TRUST OR FIDUCIARY OBLIGATIONS TO ACCOUNT HOLDER AS IT HAS NO INVESTMENT CONTROL OVER ACCOUNT HOLDER'S FUNDS AND ACTS ONLY AS A CUSTODIAN OF ACCOUNT HOLDER'S FUNDS. Vantage Retirement Plans, LLC on behalf of Custodian, First Trust Company of Onaga.

By: _____

Date: _____

Account #: _____

Type Of Account (Check One):

- Traditional IRA ROTH IRA Beneficiary IRA SEP IRA SIMPLE IRA HSA