

**Account Setup FEE: \$50** *(Non-Refundable Account Application Fee)*

**Annual Recordkeeping FEE:** *\*(Based on option selected below and due upon initial funding of account)*

- Option 1** Fee Based on Number of Investments  
**\$325** *(Per Asset/Liability)*

- Option 2** Fee Based on Total Account Value

**\*PLEASE NOTE:**  
**THIS ACCOUNT WILL BE CLOSED  
IF NOT FUNDED WITHIN 60 DAYS**

Total Account Value	Multiplier
\$0 to \$30,000	0.0120 (\$195 minimum)
\$30,001 to \$50,000	0.0100
\$50,001 to \$70,000	0.0090
\$70,001 to \$100,000	0.0085
\$100,001 to \$200,000	0.0075
\$200,001 to \$299,000	0.0065
\$300,000 and up	\$1850 maximum

## Annual Recordkeeping Fee Includes

- Online Account Access
- Required Minimum Distributions By Check
- Annual Tax Reporting
- Access To Educational Workshops

## Transaction Fees

Purchase, Sale, Exchange, Payoff, or Re-registration of Any Asset or Liability:	<b>\$125</b>
Wire Transfers, Cashier's Checks or Official Bank Checks:	<b>\$30</b>
Check Fee or ACH Transfers:	<b>\$5</b>
Overnight Mail:	<b>\$30</b>
Returned Items of Any Kind or Stop Payment Requests:	<b>\$30</b>
Custom Research Fee:	<b>\$50 per hour</b>
Partial or Full Account Termination Including Transfer of Assets From Your Account and Lump-sum Distributions. <i>(Annual Recordkeeping Fees are not pro-rated when an account is closed.)</i>	<b>.005</b> of the termination value plus applicable transaction fees; <b>Minimum of \$150</b> <b>Maximum of \$500</b>

A late fee of the lesser of 1.5% per month (18% per annum) or the maximum allowable under applicable state law may be applied on all outstanding invoices.

## Pay Fees From

- Vantage Account     CHECK *(Made payable to Vantage)*     Visa     MC     Discover     AMEX

**Name On Card:** \_\_\_\_\_

**Card Number:** \_\_\_\_\_ **Exp.:** \_\_\_\_\_ **CVC:** \_\_\_\_\_

**Billing Address:** \_\_\_\_\_

**City:** \_\_\_\_\_ **State:** \_\_\_\_\_ **Zip:** \_\_\_\_\_

- Keep This Credit Card Information On File

**If an invoice on your account is unpaid for 30 days and funds are unavailable in the account, the credit/debit card on file for the account will be charged.**

Vantage Retirement Plans, L.L.C. (“Administrator”) performs recordkeeping and administration duties in connection with Account Owner’s self-directed retirement account (the “Account”) on behalf of the custodian (“Custodian”) as set forth in Account Owner’s account application (the “Account Application”). The terms and conditions of this document are incorporated into the Account Application, and the terms and conditions of the Account Application are incorporated herein.

The Custodian is entitled to receive, from the assets held in your Account, a fee equal in amount to all income that is generated from any Undirected Cash (defined as any cash in your Account not invested pursuant to a specific investment direction by you, the Account holder) which has been deposited by Custodian into an FDIC or other United States government insured financial institution, United States government security, or security that is insured or guaranteed by the United States government (“Custodial Fee”). The Custodial Fee is associated with cash management activities, including, but not limited to, account maintenance, depository bank selection, transaction processing, sub-accounting, recordkeeping, and other services performed under the terms of this Agreement and your Account Application. Custodian retains the right, but does not have the obligation, to reduce this fee by rebating a portion of the Custodial Fee into your Account. You agree that the Custodial Fee may be retained by Custodian as compensation for the services provided by Custodian under this Agreement and your Account Application. Custodian may pay all or an agreed portion of Custodial Fee to Administrator as agreed between Custodian and Administrator. Custodian reserves the right to change all or part of the Custodial Fee at its discretion with 30 days advance written notice to you.

**FEES WILL BE DEDUCTED FROM YOUR ACCOUNT UNLESS OTHER ARRANGEMENTS HAVE BEEN MADE.** Minimum account balances may apply. Annual recordkeeping fees may be prepaid from your Account and not prorated. For your convenience, your annual fee will be reflected on your statements showing your recordkeeping charges. You may pay the amount shown on the statement. If there are insufficient funds in your Account, we may liquidate other assets to pay for such fees. All Undirected Cash is maintained by Custodian at FDIC insured banks. Fees are subject to change with 30 days written notice. Vantage reserves the right to charge clients selecting the Value Based option quarterly. ***In accordance with your Account Application, this Fee Disclosure is part of your Agreement with Administrator and must accompany your Account Application.***

**PLEASE PRINT, SIGN, AND MAIL THIS FORM TO YOUR VANTAGE OFFICE**

**Account Owner’s Signature:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_